

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dan Galai et al. Art Unit : 3624  
Serial No. : 10/753,131 Examiner : Lalita M. Hamilton  
Filed : 1/7/2004  
Title : Diversification of Risk for Artists and Investors

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INTERVIEW SUMMARY

On November 7, 2006, the undersigned representative received a telephone call from the Examiner requesting that the applicant consider U.S. Patent Nos. 7,062,457 (Kaufman) and 6,792,411 (Massey) and contact the Examiner to discuss them.

On November 15, 2006, the undersigned representative held a telephone conference with the Examiner to discuss those references and the distinctions from the pending claims. Applicant's representative thanks the Examiner for the courtesy of the telephone conference.

Applicant submits that the distinctions pointed out by the undersigned representative during the November 15, 2006 telephone call clearly indicate that the pending claims are neither anticipated nor rendered obvious by the Kaufman or Massey patents, taken alone, together, or in view of any other reference of record.

An Information Disclosure Statement, listing the Kaufman and Masey patents, is being submitted concurrently with the Interview Summary. Applicant respectfully requests that the Examiner indicate her consideration of those references and issue a Notice of Allowance.

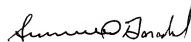
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Attorney's Docket No.: 16615-002001/

Respectfully submitted,

Date: \_\_\_\_\_

11/22/06



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